

General information about company	
Scrip code	543988
NSE Symbol	NUVAMA
MSEI Symbol	NOTLISTED
ISIN	INE531F01015
Name of the entity	Nuvama Wealth Management Limited
Date of start of financial year	01-04-2024
Date of end of financial year	31-03-2025
Reporting Quarter	Quarterly
Date of Report	30-06-2024
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Birendra Kumar	ADBPK6842J	00163054	Non-Executive - Independent Director	Chairperson		29-04-1942
2	Mr	Ashish Kehair	AFTPK7334K	07789972	Executive Director	Not Applicable	CEO-MD	14-01-1975
3	Mr	Shiv Sehgal	EPXPS9126H	07112524	Executive Director	Not Applicable		03-01-1979
4	Mrs	Anisha Motwani	AAAPM1617P	06943493	Non-Executive - Independent Director	Not Applicable		21-06-1963
5	Mr	Anthony Murray Miller	ZZZZZ9999Z	08926326	Non-Executive - Nominee Director	Not Applicable		15-02-1957
6	Mr	Aswin Vikram	AJHPV1647C	08895013	Non-Executive - Nominee Director	Not Applicable		19-06-1986

7	Mr	Kamlesh Shivji Vikamsey	AABPV3055F	00059620	Non-Executive - Independent Director	Not Applicable		06-12- 1960
8	Mr	Navtej Singh Nandra	ACLPN9107E	02282617	Non-Executive - Independent Director	Not Applicable		14-10- 1966
9	Mr	Nikhilkumar Srivastava	BMMPS4092K	07308617	Non-Executive - Nominee Director	Not Applicable		19-03- 1978
10	Mr	Sameer Kaji	AAEPK7913N	00172458	Non-Executive - Independent Director	Not Applicable		27-11- 1960
11	Mr	Ramesh Abhishek	AAFPA5204R	07452293	Non-Executive - Nominee Director	Not Applicable		03-07- 1959

I. Composition of Board of Directors

Disqualification of Directors under section 164 of the Companies Act, 2013

Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active
9	No				Active
10	No				Active
11	No				Active

I. Composition of Board of Directors

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	Yes	22-07-2022	17-11-2021	17-11-2021		31.14	1	1	1	0			
2	NA		21-09-2021				1	0	0	0			
3	NA		11-01-2022				1	0	1	0			
4	NA		30-07-2021	30-07-2021		35.01	7	7	7	1			
5	NA		19-03-2021				1	0	0	0		Textual Information(1)	
6	NA		11-01-2022				1	0	0	0			

7	NA		30-05-2023	30-05-2023		13.01	4	3	4	3			
8	NA		19-03-2021	19-03-2021		39.13	1	1	1	0			
9	NA		19-03-2021				1	0	2	1			
10	NA		01-05-2023	01-05-2023		14	2	2	3	0			
11	NA		19-03-2021		10-06-2024		0	0	0	0	Others		

Text Block

Textual Information(1)

Mr. Anthony Miller is a foreign citizen and does not hold PAN

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00059620	Kamlesh Shivji Vikamsey	Non-Executive - Independent Director	Chairperson	16-06-2023		
2	00163054	Birendra Kumar	Non-Executive - Independent Director	Member	22-04-2022		
3	07308617	Nikhil Kumar Srivastava	Non-Executive - Nominee Director	Member	22-04-2022		
4	02282617	Navtej Singh Nandra	Non-Executive - Independent Director	Member	19-03-2021		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06943493	Anisha Motwani	Non-Executive - Independent Director	Chairperson	24-01-2022		
2	00163054	Birendra Kumar	Non-Executive - Independent Director	Member	24-01-2022		
3	07308617	Nikhil Kumar Srivastava	Non-Executive - Nominee Director	Member	07-04-2021		
4	02282617	Navtej Singh Nandra	Non-Executive - Independent Director	Member	07-04-2021		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07308617	Nikhil Kumar Srivastava	Non-Executive - Nominee Director	Chairperson	16-06-2023		
2	07112524	Shiv Sehgal	Executive Director	Member	16-06-2023		
3	00172458	Sameer Kaji	Non-Executive - Independent Director	Member	16-06-2023		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07789972	Ashish Kehair	Executive Director	Chairperson	16-06-2023		
2	00172458	Sameer Kaji	Non-Executive - Independent Director	Member	16-06-2023		
3	07308617	Nikhil Kumar Srivastava	Non-Executive - Nominee Director	Member	26-05-2021		
4	00000000	Riyaz Marfatia	Chief Operating Officer	Member	16-06-2023		Textual Information(1)

Sr Text Block	
Textual Information(1)	Riyaz Marfatia is the Chief Operating Officer of the company and hence DIN is not applicable.

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00172458	Sameer Kaji	Non-Executive - Independent Director	Chairperson	25-04-2023		
2	00163054	Birendra Kumar	Non-Executive - Independent Director	Member	22-04-2022		
3	07112524	Shiv Sehgal	Executive Director	Member	22-04-2022		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	13-02-2024				Yes	11	11	5
2		25-04-2024	71		Yes	11	11	5
3		10-05-2024	14		Yes	11	11	5

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	22-01-2024				Yes	4	4	3	0
2	Audit Committee	13-02-2024	21			Yes	4	4	3	0
3	Audit Committee	20-03-2024	35			Yes	4	4	3	0
4	Audit Committee	10-05-2024	50			Yes	4	3	2	0
5	Nomination and remuneration committee	02-02-2024				Yes	4	4	3	0

6	Nomination and remuneration committee	09-05-2024	96			Yes	4	4	3	0
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Annexure 1

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Corporate Social Responsibility Committee	25-04-2024				Yes	3	3	2	0
8	Risk Management Committee	02-02-2024				Yes	3	3	1	1
9	Risk Management Committee	09-05-2024	96			Yes	3	3	1	1

Annexure 1**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Sneha Patwardhan
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Signatory Details

Signatory Details	
Name of signatory	Sneha Patwardhan
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	19-07-2024