

General information about company	
Scrip code	543988
NSE Symbol	NUVAMA
MSEI Symbol	NA
ISIN	INE531F01015
Name of the entity	Nuvama Wealth Management Limited
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	31-12-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory								
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							No	
Sr	Title (Mr/ Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Birendra Kumar	██████████	00163054	Non-Executive - Independent Director	Chairperson		29-04-1942
2	Mr	Ashish Kehair	██████████	07789972	Executive Director	Not Applicable	CEO-MD	14-01-1975
3	Mr	Shiv Sehgal	██████████	07112524	Executive Director	Not Applicable		03-01-1979
4	Mrs	Anisha Motwani	██████████	06943493	Non-Executive - Independent Director	Not Applicable		21-06-1963
5	Mr	Anthony Murray Miller	██████████	08926326	Non-Executive - Nominee Director	Not Applicable		15-02-1957
6	Mr	Aswin Vikram	██████████	08895013	Non-Executive - Nominee Director	Not Applicable		19-06-1986
7	Mr	Kamlesh Shivji Vikamsey	██████████	00059620	Non-Executive - Independent Director	Not Applicable		06-12-1960
8	Mr	Navtej S. Nandra	██████████	02282617	Non-Executive - Independent Director	Not Applicable		14-10-1966
9	Mr	Nikhil Kumar Srivastava	██████████	07308617	Non-Executive - Nominee Director	Not Applicable		19-03-1978
10	Mr	Ramesh Abhishek	██████████	07452293	Non-Executive - Nominee Director	Not Applicable		03-07-1959
11	Mr	Sameer Kaji	██████████	00172458	Non-Executive - Independent Director	Not Applicable		27-11-1960

**I. Composition of Board of Directors**

**Disqualification of Directors under section 164 of the Companies Act, 2013**

Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active
9	No				Active
10	No				Active
11	No				Active

**I. Composition of Board of Directors**

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Yes	22-07-2022	17-11-2021	17-11-2021		25	1	1	1	0		
2	NA		21-09-2021				1	0	0	0		
3	NA		11-01-2022				1	0	1	0		
4	NA		30-07-2021	30-07-2021		29	6	6	8	1		
5	NA		19-03-2021				1	0	0	0	Textual Information(1)	
6	NA		11-01-2022				1	0	0	0		
7	NA		30-05-2023	30-05-2023		7	4	3	4	3		
8	NA		19-03-2021	19-03-2021		33	1	1	1	0		
9	NA		19-03-2021				1	0	2	1		
10	NA		19-03-2021				5	4	6	1		
11	NA		01-05-2023	01-05-2023		8	1	1	1	0		

**Text Block**

Textual Information(1)

Mr. Anthony Miller is a foreign citizen and does not hold PAN

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00059620	Kamlesh Shivji Vikamsey	Non-Executive - Independent Director	Chairperson	16-06-2023		
2	00163054	Birendra Kumar	Non-Executive - Independent Director	Member	22-04-2022		
3	07308617	Nikhil Kumar Srivastava	Non-Executive - Nominee Director	Member	22-04-2022		
4	02282617	Navtej S. Nandra	Non-Executive - Independent Director	Member	19-03-2021		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06943493	Anisha Motwani	Non-Executive - Independent Director	Chairperson	24-01-2022		
2	00163054	Birendra Kumar	Non-Executive - Independent Director	Member	24-01-2022		
3	07308617	Nikhil Kumar Srivastava	Non-Executive - Nominee Director	Member	07-04-2021		
4	02282617	Navtej S. Nandra	Non-Executive - Independent Director	Member	07-04-2021		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07308617	Nikhil Kumar Srivastava	Non-Executive - Nominee Director	Chairperson	16-06-2023		
2	07112524	Shiv Sehgal	Executive Director	Member	16-06-2023		
3	00172458	Sameer Kaji	Non-Executive - Independent Director	Member	16-06-2023		



Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07789972	Ashish Kehair	Executive Director	Chairperson	16-06-2023		
2	00172458	Sameer Kaji	Non-Executive - Independent Director	Member	16-06-2023		
3	07308617	Nikhil Kumar Srivastava	Non-Executive - Nominee Director	Member	26-05-2021		
4	00000000	Riyaz Marfatia	Chief Operating Officer	Member	16-06-2023		Textual Information(1)

**Sr Text Block**

Textual Information(1)

Riyaz Marfatia is not a Director of the Company.

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00172458	Sameer Kaji	Non-Executive - Independent Director	Chairperson	25-04-2023		
2	00163054	Birendra Kumar	Non-Executive - Independent Director	Member	22-04-2022		
3	07112524	Shiv Sehgal	Executive Director	Member	22-04-2022		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

**Annexure 1**

**Annexure 1**

**III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current Quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	14-07-2023			Yes	11	11	5
2	02-08-2023	18		Yes	11	10	4
3	11-09-2023	39		Yes	11	11	5
4	27-10-2023	45		Yes	11	11	5

**Annexure 1**

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	01-08-2023				Yes	4	3	2	0
2	Audit Committee	27-10-2023	86			Yes	4	4	3	0
3	Audit Committee	28-12-2023	61			Yes	4	4	3	0
4	Nomination and remuneration committee	14-07-2023				Yes	4	3	3	0
5	Nomination and remuneration committee	27-10-2023	104			Yes	4	4	3	0
6	Corporate Social Responsibility Committee	27-10-2023				Yes	3	3	2	0
7	Stakeholders Relationship Committee	27-10-2023				Yes	3	3	1	0
8	Risk management Committee	27-10-2023				Yes	3	3	1	0

**Annexure 1****V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

<b>Details of Cyber Security incidence</b>			
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter			No
Date of the event	NA	Brief details of the event	NA



**Annexure 1**

**VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	No
9	Any comments/observations/advice of Board of Directors may be mentioned here:	

**Annexure 1**

Sr	Subject	Compliance status
1	Name of signatory	Sneha Patwardhan
2	Designation	Company Secretary and Compliance Officer

<b>Signatory Details</b>	
Name of signatory	Sneha Partwardhan
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	19-01-2024

**For Nuvama Wealth Management Limited  
(Formerly known as Edelweiss Securities Limited)**

  
Sneha Patwardhan  
Company Secretary